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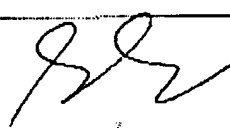
DATE: May 4, 2005

PAGES (INCLUDING COVER): 140

TO: Commissioner for Patents

FAX: 703-872-9306

FROM: Sonali S. Srivastava  
Reg. No. 52,248



Please see attached Transmittal Form for the following Patent:

Application No. : 10/711,703  
Filing Date : 9/30/2004  
Inventor : SRIVASTAVA, Rohit  
Attorney Docket No. : 060493-0001  
Entity : Small  
Examiner : Ms. Gherbi  
Art Unit : 3738

## Documents attached:

- Transmittal (1 page)
- Fee Transmittal (1 page)
- IDS Form (4 pages)
- Foreign Patent Documents (14 pages)
- Non-Patent Literature Documents (78)
- NERAC Search Report (41 pages)

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PTO/SB/21 (02-04)

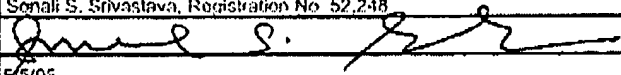
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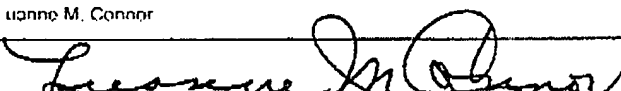
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/711,703	
	Filing Date	05/05/2005	
	First Named Inventor	Rohit Srivastava	
	Art Unit	5/38	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	7	Attorney Docket Number	060493-0001

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ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): _____
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Sonali S. Srivastava, Registration No. 52,248	
Signature		
Date	5/5/05	

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name	Luanne M. Connor
Signature	
Date	5/5/05

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 Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

Application Number	10/711,703
Filing Date	9/30/2004
First Named Inventor	Rohit Srivastava
Examiner Name	GHERRI
Art Unit	3738
Attorney Docket No.	060493-0001

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_  
☒ Deposit Account Deposit Account Number: 07-1509 Deposit Account Name: Godfrey & Kahn, S.C.  
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☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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## FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	150	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

## 2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 20 or HP -	x	=
HP = highest number of total claims paid for, if greater than 20		
<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 3 or HP -	x	=
HP = highest number of independent claims paid for, if greater than 3		
<b>Multiple Dependent Claims</b>		
<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>	

## 3. APPLICATION SIZE FEE

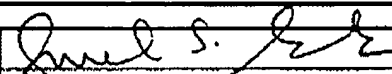
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

## 4. OTHER FEE(S)

Non-English Specification. \$130 fee (no small entity discount)

Other (e.g., late filing surcharge):

SUBMITTED BY		Registration No.	Telephone
Signature		(Attorney/Agent) 52,248	608-284-2613
Name (Print/Type)	Rohit S. Srivastava	Date	5/5/05

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 4

**Complete if Known**

Application Number	10/711,703
Filing Date	09/30/2004
First Named Inventor	SRIVASTAVA, Rohit
Art Unit	3738
Examiner Name	Unknown
Attorney Docket Number	060493-0001

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US 2004 0015230	01-22-2004	MOLL, et al.	
	2	US 2003 0130729	07-10-2003	PANIAGUA, et al.	
	3	US 2003 0055492	03-20-2002	SHAOLIAN, et al.	
	4	US 2002 0198586	12-26-2002	INOUE, Kanji	
	5	US 06,605,112	08-12-2003	MOLL, et al.	
	6	US 6,458,153	10-01-2002	BAILEY, et al.	
	7	US 6,364,901	04-02-2002	INOUE, Kanji	
	8	US 6,344,041	10-26-2001	KUPIECKI, et al.	
	9	US 6,299,637	10-09-2001	SHAOLIAN, et al.	
	10	US 6,287,334	09-11-2001	MOLL, et al.	
	11	US 6,168,592	01-02-2001	KUPIECKI, et al.	
	12	US 5,980,514	11-09-1999	KUPIECKI, et al.	
	13	US 5,976,179	11-02-1999	INOUE, Kanji	
	14	US 5,477,864	12-26-1995	DAVIDSON, James	
	15	US 5,123,428	06-23-1992	SCHWARTZ, Gerald R.	
	16	US 4,253,201	03-03-1981	ROSS, et al.	
	17	US 5,163,955	11-17-1992	CALVIN, et al.	
	18	US 5,571,174	11-05-1996	LOVE	
	19	US 5,653,749	08-05-1997	LOVE	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number * Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
	1	EP 1010405 A3	06-21-2000	INOUE, Kanji	
	2	EP 0820726 A3	01-28-1998	KUPIECKI, et al	
	3	EP 0820726 - B1	09-10-2003	KUPIECKI, et al	
	4	WO 2004 019825 A1	03-11-2004	GUYENOT, et al.	
	5	WO 2003 047468 A1	06-12-2003	SPENSER, et al.	
	6	WO 2000 041652 A1	07-20-2000	LETAC, Brice	

Examiner  
SignatureDate  
Considered

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PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 2 of 4

**Complete if Known**

Application Number	10/711,703
Filing Date	09/30/2004
First Named Inventor	SRIVASTAVA, Rohit
Art Unit	3738
Examiner Name	Unknown
Attorney Docket Number	060493-0001

Examiner Initials*	Cite No. <sup>1</sup>	U. S. PATENT DOCUMENTS			
		Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	20	US- 3,671,979	06-27-1972	MOULOPOULOS	
	21	US- 4,056,854	11-08-1997	BORETOS	
	22	US- 5,411,552	05-02-1995	ANDERSON	
	23	US- 6,540,782	04-01-2003	SNYDERS	
	24	US- 5,397,351	03-14-1995	PAVCNIK, et al.	
	25	US- 5,885,601	03-23-1999	SOKAL	
	26	US- 6,582,462	06-24-2003	ANDERSON	
	27	US- 6,168,614	01-02-2001	ANDERSON, et al.	
	28	US- 5,840,081	11-24-1998	ANDERSON, et al.	
	29	US- 2003 0114913	06-19-2003	SPENSER, et al.	
	30	US- 3,671,979	09-04-1973	HANCOCK	
	31	US- 4,056,854	11-08-1997	BORETOS, et al.	
	32	US- 3,755,823	09-04-1973	HANCOCK	
	33	US- 4,856,516	08-15-1989	HILLSTEAD	
	34	US- 4,733,665	03-29-1988	PALMAZ	
	35	US- 4,994,077	02-19-1991	DOBBEN	
	36	US- 5,163,953	11-17-1992	VINCE	
	37	US- 5,332,402	07-26-1994	TEITELBAUM	
	38	US- 4,655,771	04-07-1987	WALSTEN	

Examiner Initials*	Cite No. <sup>1</sup>	FOREIGN PATENT DOCUMENTS			
		Foreign Patent Document Country Code <sup>1</sup> Number <sup>2</sup> -Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	7	WO 1996 002212 - A1	02-01-1996	BLOCK, et al.	
	8	WO 98 29057	07-09-1998	LETAC et al.	

Examiner Signature	Date Considered
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 3

of 4

**Complete if Known**

Application Number	10/711,703
Filing Date	09/30/2004
First Named Inventor	SRIVASTAVA, Rohit
Art Unit	3738
Examiner Name	Unknown
Attorney Docket Number	060493-0001

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	39	US- 5,370,685	12-06-1994	STEVENS	
	40	US- 5,824,064	10-20-1998	TAHERI	
	41	US- 5,855,597	01-05-1999	JAYARAMAN	
	42	US- 5,855,601	01-05-1999	BESSELER, et al.	
	43	US- 5,925,063	07-20-1999	KHOSRAVI	
	44	US- 5,007,926	04-16-1999	DERBYSHIRE	
	45	US- 5,954,766	09-21-1999	ZADNO-AZINI, et al.	
	46	US- 5,957,949	09-28-1999	LEONHARDT, et al.	
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FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>1</sup> Number <sup>2</sup> Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear

Examiner Signature	Date Considered
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/711,703
		Filing Date	9/30/2004
		First Named Inventor	Rohit Srivastava
		Art Unit	3738
		Examiner Name	GHERBI
Sheet 4	of 4	Attorney Docket Number 060493-0001	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issu number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	HUFNAGEL, C.A., Am. J. of Surg., 137:285-300 (1972).	
	2	HARKEN et al., J. Thorac & Cdsc. Surg., 40:744-762 (1960)	
	3	LO et al., Trans. Am. Soc. of Art. Int. Organs, 34:839-844 (1988)	
	4	HILBERT et al., J. Therac & Cdvs. Surg., 94:419-429 (1987)	
	5	BAILEY, Steven R., Textbook of Interventional Cardiology, Chap. 75 (1995)	
	6	KNUDSEN et al., Int'l J. of Art. Organs, 16:253-262 (1993)	
	7	KNUDSEN et al., European Heart J, 13:704-708 (1992)	
	8	NERAC Search Report	

Examiner Signature	Date Considered
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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